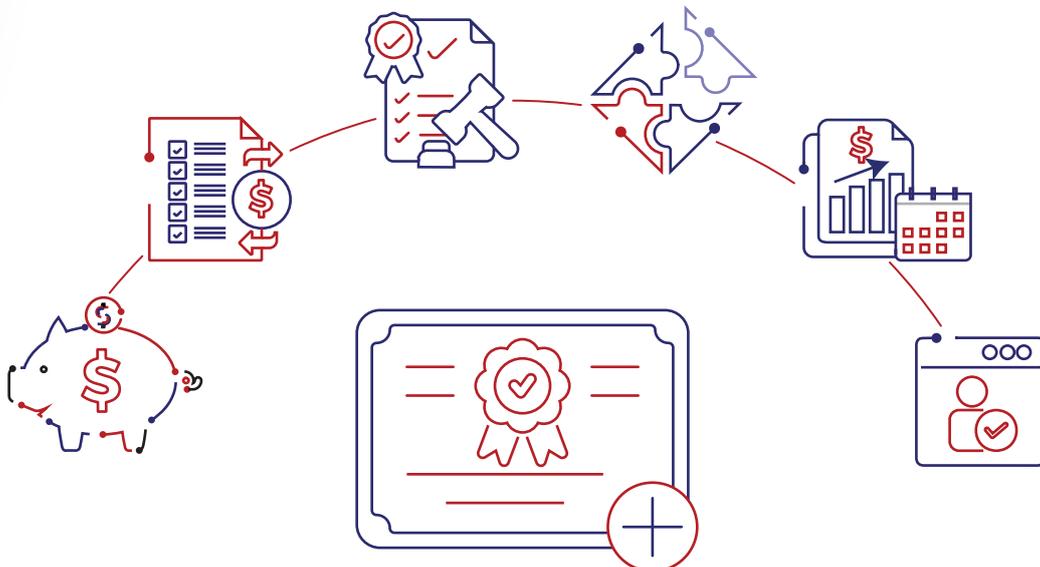


## CORE Plan Information Management

CORE Plan Information Management (PIM) streamlines plan setup using AI and pre-configured templates, reducing errors. Standardized capture of provisions ensures consistent plan onboarding. CORE PIM's dynamic questionnaires recommend optimal plans and cut setup time, while automated approvals and validation improve accuracy and time to launch. Transparency is enhanced by automated notifications, and in tandem with CORE Mapper, data conversions are seamless and accurate.



## Key Features



### Multiple Plan Templates

Pre-configured templates that mirror existing prototype or volume submitter documents to enable quick creation of new plans on the fly, reducing setup time significantly.



### Comprehensive Plan Provisions Capture

Capture and validation of detailed plan provisions such as:

- Sources of Contributions
- Eligibility Rules
- Vesting Schedules
- Loan & Distribution Options
- Investment Strategies
- Enrollment & Custodial Integrations
- Restrictions, and more.



### Intuitive Plan Selection

Recommendation of the best-fit plan type to plan sponsors based on their responses to a guided, dynamic questionnaire asking about their motivations, company size, employee base, financial outcomes, and more.



### Support across plan types

Support for multiple plan types including 401(k), 403(b), 457, SIMPLE, Profit sharing, MEPs, PEPs and many others.



### Customizable Onboarding Process

Adaptability to the unique needs of each plan type and seamless integration into your environment - whether setting up a new plan or onboarding an existing one.



### AI-Powered Document Analysis

- AI tool to automatically read and compare provisions for existing plans through a simple upload of prior plan documents, offering the best match within your system.
- Creation of new plans based on prior provider data.
- AI-powered conversion mitigates the risks associated with manual data entry and misalignment between prior and new plan provisions.



### Approval Flows

Built-in workflows ensure that plan provisions and configurations are reviewed and approved before moving forward, safeguarding compliance and accuracy.



### Data Security and Privacy

Highest levels of encryption, authentication (OAuth2, SSO), and security protocols to protect all data during the onboarding process.





### End-to-End Audit Trails

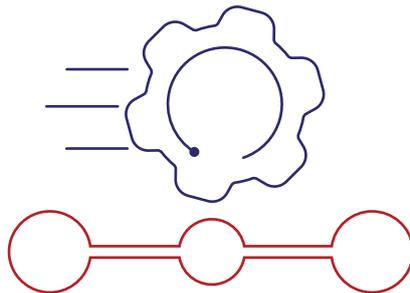
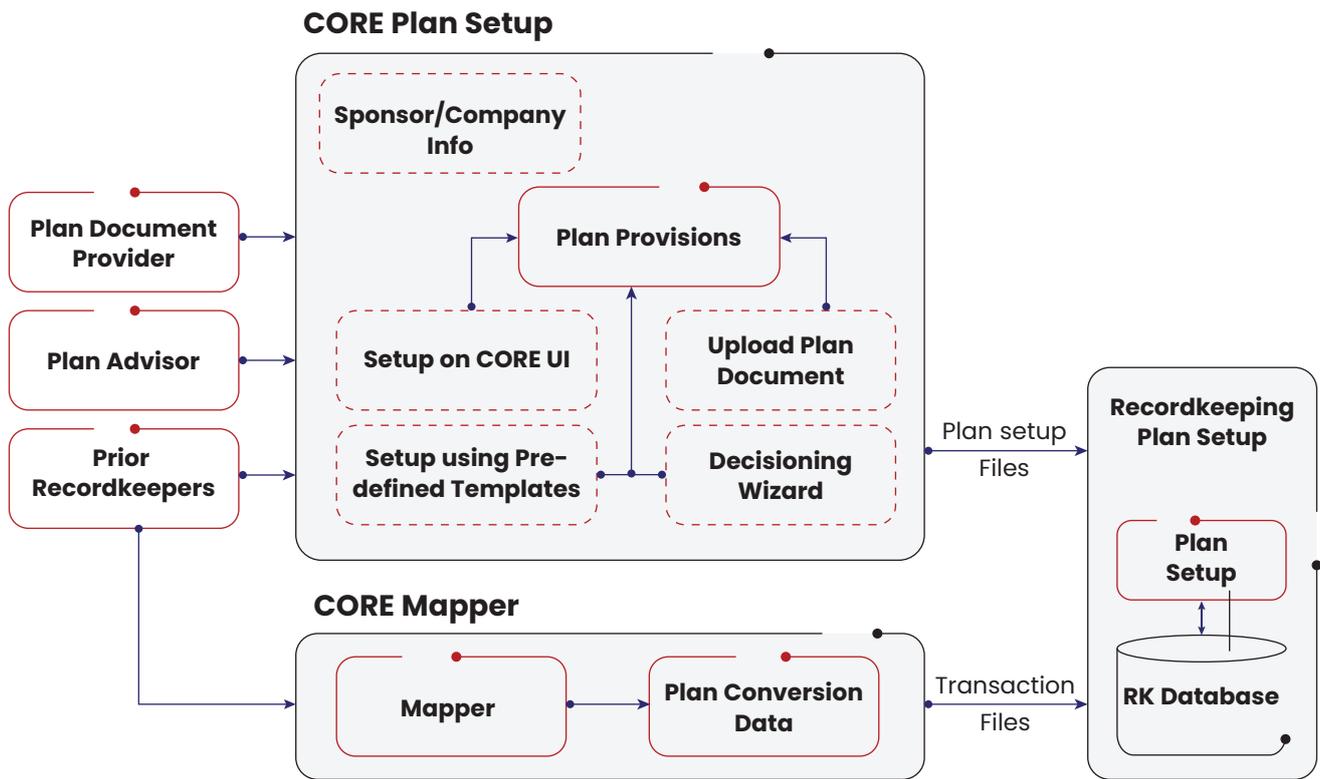
Detailed audit trails for every action taken during the onboarding process ensure all actions are logged and reviewable - from document uploads to approval workflows - to create transparency and reduce operational risk.



### Automated Communications & Reporting

Real time updates to stakeholders throughout the onboarding process via automated notifications, ensuring that every step is transparent and trackable.

## Plan Onboarding & Conversion Process





### Seamless Integrations

- **Document Management:** Integration with Alfresco to store plan documents, SPDs, adoption agreements, etc.
- **Plan Document Creation:** Integration with FT Williams for document creation, complete with e-signature capabilities.



### Data Onboarding for Conversion Plans:

- Support for multiple data formats for conversion plans.
- Extensive transformation and error-handling capabilities.
- Seamless integration with core recordkeeping systems or creation of onboarding files.
- Custom reports to provide full reconciliation and audit capabilities for the complete onboarding workflow.



### User-Friendly Interface:

- Drag-and-drop functionality for business users.
- Customizable error handling for improved data integrity.
- Simplified validation processes to ensure accuracy.

### Why Choose CORE?

- **Speed:** Automate tedious processes and onboard plans quickly.
- **Accuracy:** Reduce errors with built-in validation and approval flows.
- **Flexibility:** Tailor your onboarding experience to fit your organization's specific needs.

## ABOUT CONGRUENT

Congruent Solutions is a trusted specialist in technology-driven services for the retirement industry since 2004. Today, our CORE SaaS and outsourced plan administration services empower leading Fortune 500 plan providers and third-party administrators to solve business challenges and be ready for tomorrow.



## ABOUT CORE SUITE



Scan to know more about CORE Platform and its modules

Congruent's CORE suite is a cloud-based modular SaaS for managing and administering retirement plans in the US. Trusted by leading plan providers, CORE provides outsized savings, risk-free digital transformation, better user experience to sponsors and participants, and reduced plan churn.